

DIRECT TRANSFER-IN FORM

we are all about you

Please complete this form if you wish to transfer an ISA to the Wesleyan With Profits ISA.

If you hold one or more ISAs with another ISA Manager, you can transfer these to Wesleyan Assurance Society by completing all sections of this form.

If you are transferring a number of ISA funds from a number of different ISA Managers, please ensure you complete a separate form for each Manager.

Please note: If you are transferring from Family Building Society, Virgin Money, UBS Wealth Management, Barclays, Earl Shilton Building Society, Metro Bank, or Novia, please complete it, and then print it off before returning it to us via post. For all other providers, you can print, complete and scan the application form before returning it via email to: PenandInvNewBusiness@wesleyan.co.uk. Although unlikely, there is the possibility that someone could intercept your email and obtain your data.

If you're unsure how to complete your signature online, please visit www.wesleyan.co.uk/digitalforms, where you can find instructions on how to do this.

Product Suitability

The first step of your application is a quick knowledge check, just to make sure you understand how Wesleyan's With Profits ISA works. We are required to ask these questions to ensure that the product is appropriate for you.

Question 1

Wesleyan's With Profits ISA aims to steady the ups and downs of investment returns through a process called smoothing. Do you understand what this means?

- ☐ Yes – I understand that in order to provide a stronger return in times of poor market performance, the fund may keep back some returns during times of strong market performance.

Question 2

In times of particularly poor market performance, smoothing alone may not be sufficient to protect all customers from the withdrawals of others.

In this case we may apply a Market Value Reduction (MVR). Do you understand what this means?

- ☐ Yes – I understand that the value of any withdrawals may be adjusted to more fairly reflect underlying market performance, meaning I may get back less than I invest.

Question 3

This product is rated as Moderate Risk/Reward. Do you understand what this means?

- ☐ Yes – I understand that the With Profits ISA is suitable for investors who are looking for higher returns than cash or fixed-interest based investments over a term of at least five years. In order to achieve this, the fund is mostly held in shares and property, so I accept that I may get back less than I invested.

If you are not comfortable ticking yes to any of these questions, we are unable to accept your application. Please visit our website to learn more about our With Profits ISA.

Your personal details

PLEASE USE BLOCK CAPITALS

Existing Wesleyan With Profit ISA account number (if applicable)	<div style="border-bottom: 1px solid black; height: 20px; width: 100%;"></div>
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Mr/Mrs/Miss/Ms/Other Surname

[illegible]

Address (in full)

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[illegible]

Date of birth

D	D
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M	M
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Y	Y	Y	Y
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Please enter your nationality

Authorisation to existing ISA Manager

Name of existing ISA Manager

Account No.

Address

Postcode

Please indicate the ISA you wish to transfer

Innovative Finance ISA ☐ Lifetime ISA* ☐ Stocks and Shares ISA ☐ Cash ISA ☐

Current Tax Year ISA (Please note that you may transfer all or part of previous tax year holdings.)

I **do not** wish to transfer my current tax year ISA ☐ I wish to transfer **all** of my current tax year ISA ☐

I wish to transfer **part** of my current tax year ISA ☐ Please detail below

Previous Tax Year ISA (Please note that you may transfer all or part of previous tax year holdings.)

I **do not** wish to transfer my previous tax year ISA ☐ I wish to transfer **all** of my previous tax year ISA ☐

I wish to transfer **part** of my previous tax year ISA ☐ Please detail below

For **part** transfers of current and/or **previous tax year ISAs**, please provide details of the monetary amount, tax years, percentage or specific investment fund holdings that you wish to transfer.

*If you are transferring from a Lifetime ISA, this transfer will be treated as a withdrawal from the Lifetime ISA and is subject to a 25% withdrawal charge unless:

- the transfer happens after your 60th birthday, or
- you have declared that you have a terminal illness

If you have other nationalities or citizenships, please enter full details below (for example, United States citizen).

Home Tel. No. Business Tel. No.

Anticipated transfer account:

From Cash ISAs	<input type="text"/>
From Lifetime ISAs	<input type="text"/>
From Innovative Finance ISA	<input type="text"/>
From Stocks and Shares ISAs	<input type="text"/>

Some ISA Managers may not allow part holdings to be transferred. If this is the case, we will contact you again to see how you wish to proceed. Please note that Wesleyan Assurance Society only accepts transfers of £500 or above if you are transferring into an existing With Profits ISA. For new plans, the minimum subscription is £1,000.

Please ensure you complete an authority form for each manager.

Your tax details

Town/city of birth Country of birth

Tax regulations require us to collect information about each customer's tax arrangements including where they may be outside of the UK.

Please list all countries in which you are resident for tax purposes. For each country listed, you must provide the related Tax Reference Number(s) / Tax Identification Number(s)

Country/countries of tax residency (for example United Kingdom or United States)	Tax Reference Number (for example UK National Insurance Number or United States Tax Identification Number)	This country does not use Tax Identification Numbers (please tick)

Declaration and authority

I hereby authorise you to supply Wesleyan Assurance Society with any information regarding my Individual Savings Account(s) which they may require from time to time. I also authorise you to transfer my accounts to Wesleyan upon its instructions to proceed. I understand that where I am transferring subscriptions from the current tax year, I must transfer the whole of that year's subscriptions.

Signature Date

D	D
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M	M
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Y	Y	Y	Y
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Privacy notice

The security of your personal data is very important to us and we will never sell your data to other companies. You can read more about how we protect your information and your rights by reading our privacy notice which is available upon request or via our website www.wesleyan.co.uk/privacy

ISA authority and declaration

For your own benefit and protection, if you do not understand any aspect of how the With Profits ISA works or any of the terms and conditions, please ask us for further information before proceeding.

I hereby apply to transfer my current and/or previous tax year ISA subscriptions as indicated above to the Wesleyan With Profits ISA, subject to the Terms and Conditions.

I understand:

- ▶ If I wish to make any future subscriptions to my Wesleyan With Profit ISA, I must complete a new ISA application form if there isn't one already in place.
- ▶ The With Profits ISA is designed to meet the needs of those who wish to invest in a tax advantageous product for a minimum of five years and who are willing to accept management charges.
- ▶ How the With Profits Fund works and accept the risks of investing, which means there are no guarantees. Values can go down as well as up and that I could get back less than I have invested.
- ▶ Part of the product charges will be used to cover the cost of the Ongoing Advice Service provided by Wesleyan Financial Services (WFS), where I have opted into this service.
- ▶ I have not received any advice or a recommendation from Wesleyan during this application, and I have made my own choice as to the suitability of this ISA transfer for my circumstances.
- ▶ A separate With Profits policy will be issued for each tax year.
- ▶ If any of the information I provide is found to be false or inaccurate, it may be considered as fraudulent and reported to fraud prevention agencies and/or the relevant authorities. It may also invalidate my plan and could prejudice any claim made.
- ▶ If I decide to cancel this transfer after my previous ISA manager has sent the money to Wesleyan, my previous ISA manager is under no obligation to take the money back.

I authorise Wesleyan Assurance Society to:

- ▶ hold my cash subscriptions and any other proceeds in respect of my ISA investments and any other cash, and
- ▶ make on my behalf any claims to relief from tax in respect of ISA investments.

I declare that:

- ▶ I have read, agreed to and I understand the Key Features Document, Key Information Document, Plan Document and the full terms and conditions of the Wesleyan With Profits ISA.
- ▶ The information given in this application form is true and correct to the best of my knowledge and belief, and I will inform Wesleyan Assurance Society without delay of any changes in circumstances affecting any of the information given on this form.

Signed Date

OFFICE USE ONLY

Public business ☐

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 Plan commences

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 Non contact agency ☐

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A Money Laundering Certificate must be included with this application form

Signed	RAT
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A copy of the plan terms and conditions is available on request.

If you would like this document in Braille, large print or audio format, please contact 0345 351 2352.

'WESLEYAN' is a trading name of the Wesleyan Group of companies.

Wesleyan Assurance Society is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Advice is provided by Wesleyan Financial Services Ltd (Registered in England and Wales No. 1651212) who is authorised and regulated by the Financial Conduct Authority and is wholly owned by Wesleyan Assurance Society. Incorporated in England and Wales by Private Act of Parliament (No. ZC145). Registered Office: Colmore Circus, Birmingham B4 6AR. Telephone: 0345 351 2352. Fax: 0121 200 2971. Calls may be recorded to help us provide, monitor and improve our services to you.